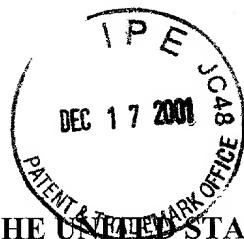


Pls •

PATENT



Section 9

Attorney Docket No. 3960.D16

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of)
John S. HENDRICKS)
Serial No: 09/964,882)
Filed: September 28, 2001)
For: Electronic Book Selection and Delivery)
System Having Encryption and Security)
Features)
)

BOX: MISSING PARTS

RESPONSE TO NOTICE TO FILE MISSING PARTS

BOX MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of NonProvisional Application - Filing Date Granted (copy attached) mailed on October 18, 2001, a response to which is due December 18, 2001, submitted herewith are the basic filing fee, additional claims fees and surcharge as required by the Notice.

In addition, applicant submits herewith substitute formal drawings which comply with the U.S. Patent and Trademark Office regulations.

Attached is our Check in the amount of \$1,276.00 (to cover the statutory basic filing fee (\$710.00), additional claims fees (\$436.00), and late filing fee surcharge (\$130.00)) for the fees under 37 C.F.R. §1.16.

Entry of the substitute drawings and payment of the required fees completes all filing formalities and fully satisfies all requirements of the Notice to File Missing Parts. Accordingly, examination and allowance of this application in due course are respectfully solicited.

The Commissioner is hereby authorized to charge any additional fee (or credit any overpayment) associated with this communication to Deposit Account No. 04-1425

Respectfully submitted,

By: 
Sean S. Wooden
Registration No. 43,997

Dorsey & Whitney LLP
1001 Pennsylvania Avenue, N.W.
Suite 300 South
Washington, D.C. 20004
Telephone: 202-824-8800

Dated: December 17, 2001

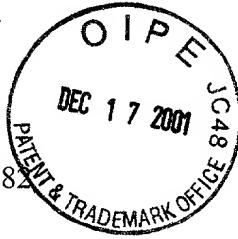
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

John S. HENDRICKS

Serial No: 09/964,882

Filed: September 28, 2001

For: Electronic Book Selection and Delivery
System Having Encryption and Security
Features

BOX: MISSING PARTS

SUBMISSION OF SUBSTITUTE DRAWINGS

BOX MISSING PARTS

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of NonProvisional Application - Filing Date Granted (copy attached) mailed on October 18, 2001, a response to which is due December 18, 2001, submitted herewith are forty (40) sheets of substitute formal drawings. A Response to the Notice to File Missing Parts in which the filing fees are submitted is filed concurrently herewith.

Entry of the substitute drawings and payment of required fees completes all of the filing formalities and fully satisfies all requirements of the Notice to File Missing Parts. Accordingly, examination and allowance of this application in due course are respectfully solicited.

The Commissioner is hereby authorized to charge any additional fee (or credit any overpayment) associated with this communication to Deposit Account No. 04-1425

Respectfully submitted,

By:


Sean S. Wooden
Registration No. 43,997

Dorsey & Whitney LLP
1001 Pennsylvania Avenue, N.W.
Suite 300 South
Washington, D.C. 20004
Telephone: 202-824-8800

Dated: December 17, 2001

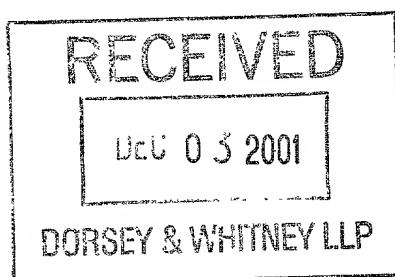
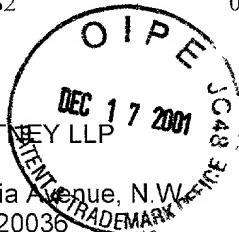


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D C 20231
 www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/964,882 | 09/28/2001 | John S. Hendricks | 3960.D16 |

DORSEY & WHITNEY LLP
 Suite 300 South
 1001 Pennsylvania Avenue, N.W.
 Washington, DC 20036



CONFIRMATION NO. 2107
 FORMALITIES LETTER

 OC000000006932858

Date Mailed: 10/18/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$436.
 - \$36 for 2 total claims over 20.
 - \$400 for 5 independent claims over 3 .
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1276.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

10/18/2001 ADDITION 003000 041483 03954425

01 F01101
 02 F01105
 03 F01103
 04 F01108

50.81 0P 172.36 0P

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY